

Practitioner's Docket No. 1999DE133/C1/D

PATENT

Preliminary Classification:
Proposed Class:
Subclass:

22857 U.S. PTO
10/807634

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop: Patent Application
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Joachim WEBER, Felix Wendelin GRIMM and Erwin DIETZ

For (title): Pigment Dispersants Based on Diketopyrrolopyrrole
Compounds and Pigment Preparations

1. Type of Application

This transmittal is for a divisional application under 37 CFR Section 1.53(b).

2. Benefit of Prior U.S. Application (35 U.S.C. Sections 119(e), 120, or 121)

The new application being transmitted claims the benefit of prior U.S. application Serial No. 10/375,573, filed February 27, 2003, which is a continuation application of co-pending US Application Serial No. 09/727,960, filed December 1, 2000.

CUSTOMER NO. 25,255CERTIFICATION UNDER 37 CFR 1.10

Express Mail Label Number ER654097247US

Date of Mailing March 24, 2004

I hereby certify that on the date indicated above, this new U.S. patent application and the papers indicated as enclosed therein, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" addressed to: Commissioner for Patents, Mail Stop Patent Application, P. O. Box 1450, Alexandria, VA 22313-1450, in accordance with 37 CFR 1.10.

Signature of Person Mailing the Application

Vicki L. Sgro

Typed Name of Person Mailing the Application

CUSTOMER NO. 25,255

* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

3. Papers Enclosed

A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design)
Application

37 Pages of Specification
8 Pages of Claims
0 Sheet of Drawing--Formal

B. Other Papers Enclosed

2 Pages of declaration and power of attorney
1 Page of abstract
10 Pages of Preliminary Amendment
1 Page Certification Under 37 CFR 1.10

4. Declaration or Oath

Copy of the executed Declaration filed in SN: 10/375,573 is enclosed.

5. Inventorship Statement

The inventorship for all the claims in this application is the same.

6. Language

English

7. Assignment

The parent application is assigned to Clariant GmbH and the Assignment is being filed by facsimile today, March 24, 2004. Also, recordation regarding the present divisional application is being submitted herewith, along with an Assignment Recordation Transmittal.

8. Fee Calculation (37 C.F.R. Section 1.16)
Regular Application

CLAIMS AS FILED					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$770.00
Total Claims (37 CFR 1.16(c))	8	- 20 =	0 x	\$18.00	\$00.00
Independent Claims (37 CFR 1.16(b))	1	- 3 =	0 x	\$86.00	\$0.00
Multiple Dependent Claim(s), if any (37 CFR 1.16(d))			+	\$290.00	\$0.00

Filing Fee Calculation		\$770.00
Fee for filing Recordation of Assignment		\$ 40.00
9. Fee Payment Being Made at This Time		
Enclosed		
Filing Fee		\$810.00
Total Fees Enclosed		\$810.00

10. Method of Payment of Fees

Charge Account No. 03-2060 in the amount of \$810.00.
A duplicate of this transmittal is attached.

11. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 03-2060.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

12. Instructions as to Overpayment

Credit Account No. 03-2060.

13. Relate Back

Amend the specification by inserting, before the first line, the following sentence:

This application is divisional application of co-pending US Application Serial No. 10/375,573, filed February 27, 2003, which is a continuation application of co-pending US Application Serial No. 09/727,960, filed December 1, 2000.

14. Relate Back--35 U.S.C. Section 119 Priority Claim for Prior Application

The prior U.S. application, including any prior International Application designating the U.S., identified above in item 13, in turn itself claims foreign priority as follows:

Country: Germany

Application No.: 199 58 181.9 filed on December 2, 1999.

The certified copy of the priority document for which priority is claimed was filed on December 1, 2000. A copy of the Transmittal and first page of the certified document is enclosed.

15. Maintenance of Copendency of Prior Application

A. The Parent application SN 10/375,573 was allowed on December 24, 2003, and the issue fee was paid by facsimile on March 24, 2004.

16. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed

a. This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventors in this application are the same.

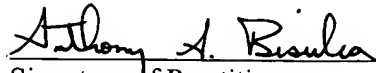
Express Mail Label Number ER654097247US

Date: March 24, 2004

Reg. No.: 40,913

Tel. No.: 704-331-7151

Customer No.: 25,255

A handwritten signature in black ink, reading "Anthony A. Bisulca", is written over a horizontal line.

Signature of Practitioner

Anthony A. Bisulca

Clariant Corporation

Industrial Property Department

4000 Monroe Road

Charlotte, North Carolina 28205

Direct Dial: 704/331-7151

Facsimile: 704/331-7707

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail Label Number ER654097247US

Date of Mailing March 24, 2004

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that on the date indicated above, this NEW Divisional PATENT APPLICATION TRANSMITTAL and the papers indicated as enclosed therein, of:

Inventor(s): Joachim WEBER, Felix Wendelin GRIMM and Erwin DIETZ

**For: Pigment Dispersants Based on Diketopyrrolopyrrole
Compounds and Pigment Preparations**

is being deposited with the United States Postal Service as "Post Office to Addressee" Express Mail addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, Mail Stop: Patent Application, in accordance with 37 CFR 1.10.



Vicki L. Sgro

CUSTOMER NO. 25,255